PTO-1390 (Rev. 07-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

ATTORNEY'S DOCKET NUMBER TOS-165-USA-PCT

CONCERNING A SUBMISSION UNDER 35 U.S.C. 371			U.S. APPLICATION 10 5 80 8 74 1.5)			
INTERNATIONAL APPLICATION NO. PCT/JP2004/017835		INTERNATIONAL FILING DATE December 1, 2004	PRIORITY DATE CLAIMED December 2, 2003			
TITLE OF	TITLE OF INVENTION PHOSPHORYLCHOLINE GROUP CONTAINING COMPOUND AND SURFACE MODIFYING AGENT					
APPLICANT(S) FOR DO/EO/US Yousuke TOUJO, Kazuyuki MIYAZAWA, Taketoshi KANDA, Hiroshi KUTSUNA, Kenichi SAKUMA, et al.						
Applicant	herewith submits to the United Sta	ates Designated/Elected Office (DO/EC	D/US) the following items and other information:			
1. 🗸 1	This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.					
2. 🔲 1	This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.					
	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.					
4. 🗸 1	The US has been elected (Article 31).					
5.	A copy of the International Application as filed (35 U.S.C. 371(c)(2))					
	a. is attached hereto (required only if not communicated by the International Bureau).					
	b.  has been communicated by	the International Bureau.				
	c. is not required, as the applic	cation was filed in the United States Receiv	ing Office (RO/US).			
6. 🗹	An English language translation of the	e International Application as filed (35 U.S.C	C. 371(c)(2)).			
	a. is attached hereto.					
	b. has been previously submit	tted under 35 U.S.C. 154(d)(4).				
7. ✓	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))					
	a. are attached hereto (requir	red only if not communicated by the Interna	tional Bureau).			
	b. have been communicated	by the International Bureau.				
	c. have not been made; howe	ever, the time limit for making such amendr	nents has NOT expired.			
	d. have not been made and v	vill not be made.				
8. 🗀	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).					
9. 🔲	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).					
10	An English language translation of the Article 36 (35 U.S.C. 371(c)(5)).	e annexes of the International Preliminary E	examination Report under PCT			
Items	11 to 20 below concern document(s	) or information included:				
11.	An Information Disclosure Statement	under 37 CFR 1.97 and 1.98.				
12.	An assignment document for recording	g. A separate cover sheet in compliance wi	ith 37 CFR 3.28 and 3.31 is included.			
13. 🗸	A preliminary amendment.					
14. 📙	An Application Data Sheet under 37 CFR 1.76.					
15.	A substitute specification.					
16.	A power of attorney and/or change of address letter.					
17.	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.					
18.	A second copy of the published Intern	eational Application under 35 U.S.C. 154(d)	(4).			
19. 🗀	A second copy of the English languag	e translation of the international application	under 35 U.S.C. 154(d)(4).			

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

## IAP9 Rec'dPCT/PTO 25 MAY 2006

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U.S. APPLICATION	P/580874	INTERNATIONAL AF	PPLICATION NO.	ATTORNEY'S DO	CKET NUMBER
	-000/4	PCT/JP2004/017835	<del></del>	TOS-165-USA-PCT	
20. Other items	s or information:				
Claim for Priority, wit	h PCT/IB/304		•		
Glaminon i nomy, wie					
The femalian	f - 1 - 1 - 1 - 1 - 1 - 1 - 1			Lauaniena	979 1197 91111
1	g fees have been submitted ional fee (37 CFR 1.492(a))		\$300	\$ 300.00	PTO USE ONLY
				300.00	
	on fee (37 CFR 1.492(c))				
by IPEA/US in	repared by ISA/US or the inter adicates all claims satisfy provi	\$ 200.00			
	e (37 CFR 1.492(b))				
If the written opinion o	f the ISA/US or the Internation	al preliminary examination re	port prepared by		
Search fee (37 CFR 1	ates all claims satisfy provisio .445(a)(2)) has been paid on t	he international application to	the USPTO as an	\$400.00	
	Searching Authority				
	nmunicated to the US by the IE				
	AL OF 21, 22 and 23 =			900.00	
Additional fee for	specification and drawings file			000.00	
electronic med	ng in compliance with 37 CFR dium) (37 CFR 1.492(j)).		rogram listing in an		
The fee is \$25	0 for each additional 50 sheets	s of paper or fraction thereof.	<del></del>	-	
Total Sheets Extr		additional 50 or fraction up to a whole number)	RATE		
108 <sub>- 100</sub> = 8	/50 = 1		× \$250	\$ 250.00	1
Surcharge of \$130.00 after the date of comm	for furnishing any of the searc nencement of the national stag	\$ 130.00			
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	9 - 20 =	0	× \$ 50	\$	
Independent claims	1 -3=	0	× \$200	\$	
MULTIPLE DEPENDENT CLAIM(S) (if applicable		+ \$360		\$	
	0.07.055	\$			
Applicant claims s	mall entity status. See 37 CFF				
Processing for of \$42	200 for furnishing the English	\$ 1280.00	<del></del>		
claimed priority date (3	0.00 for furnishing the English 37 CFR 1.492(i)).	\$			
		\$ 1280.00			
Fee for recording the e by an appropriate cove	enclosed assignment (37 CFR er sheet (37 CFR 3.28, 3.31).	\$			
		\$ 1280.00			
				Amount to be refunded:	\$
				Amount to be charged	\$

10/580874 'AP9 Rec'd PCT/PTO\_25\_MAY..2006

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а. 🗌	A check in the amount of \$ to cover the abo	ove fees is enclosed.			
b. 🗸	Please charge my Deposit Account No. 20-1424 in the amount of A duplicate copy of this sheet is enclosed.	\$ 1280.00 to cover the above fees.			
c. 🗸	The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 20-1424 . A duplicate copy of this sheet is enclosed.				
d. 🗌	Fees are to be charged to a credit card. <b>WARNING</b> : Information on this <b>be included on this form</b> . Provide credit card information and authorize	form may become public. Credit card information should not ition on PTO-2038.			
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.					
		_			
SEND A	LL CORRESPONDENCE TO:	Vant 1 r			
	SEND & BANTA	SIGNATURE			
c/o Port P.O. Bo	тоногР рх 52050	Donald E. Townsend			
Minnea	polis, MN 55402	NAME			
(202) 220-3124		22,069			
		REGISTRATION NUMBER			

## NEW APPLICATION FILING RECEIPT

Express Mail No.: <u>ED 024986296 US</u>

Docket No	o.: TOS-165-USA-PCT
Filed: May	y 25, 2006
First Name	ed Inventor: Y. Toujo
PO Box 14	SIONER FOR PATENTS 450 a, VA 22313-1450
	knowledge receipt of the following items by date stamping and is sheet to us in the prepaid postage envelope attached hereto.
<u>X</u> <u>X</u>	Transmittal in Duplicate Preliminary Amendment
<u>X</u>	Specification:
	Pages of Application (including spec, claims and abstract):  90
	Pages of Drawings: 18
	Assignment & Recordation Form Cover Sheet Transmittal and Certified Copy of priority application Information Disclosure Statement, PTOL 1449 and references
<u>X</u>	Check for \$Claim For Priority, with PCT/IB/304 Other:
	Other:

LAW OFFICES OF TOWNSEND & BANTA Suite 900, South Building 601 Pennsylvania Ave., N.W. Washington, D.C. 20004

## CERTIFICATE OF EXPRESS MAILING

I hereby certify that the attached U.S. national stage application, in Docket No. TOS-165-USA-PCT, entitled "Phosphorylcholine Group Containing Compound And Surface Modifying Agent Composed Of Such Compound", corresponding to PCT/JP2004/017835, filed December 1, 2004, is being deposited with the United States Postal Service with sufficient postage as express mail (express mail No. ED 024986296 US) in an envelope addressed to:

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

on May 25, 2006.

Donald E. Townsend, Jr.

Donald & Townend,